

24 NCAC 06B .0204 PROVISIONAL SUPPLIER LICENSE

- (a) The Director may issue a Provisional Supplier License to an Applicant whose submitted application facially contains all required information and materials such that the application may be deemed administratively sufficient.
- (b) To be eligible to receive a Provisional Supplier License, an Applicant shall:
- (1) note its request for a Provisional Supplier License in its application; and
 - (2) provide in its application written attestations that the Applicant, its Key Persons, and current employees subject to Background Investigations have not been convicted in any jurisdiction of a felony, any gambling offense, a criminal offense involving moral turpitude or obstruction of justice, or any criminal offense involving dishonesty or breach of trust within 10 years of application.
- (c) A Provisional Supplier License shall not be awarded to an Applicant that:
- (1) has been subject to sanction, enforcement, or civil penalties by a Sports Wagering or gaming regulatory body of another state;
 - (2) if a corporate entity, is not registered to do business in the State and in good standing;
 - (3) is subject to delinquent federal, state, or local taxes; or
 - (4) is in bankruptcy proceedings.
- (d) The Director shall provide a date certain for the expiration of a Provisional Supplier License. The expiration date shall be the sooner of:
- (1) 180 Days from the date of the issuance of the provisional License; or
 - (2) the date the Director approves or denies the Application after completion of the Background Investigation.
- (e) After the denial of an application, a Provisional Supplier License shall not be valid during the pendency of an Applicant's request for reconsideration or during the pendency of any appeal.
- (f) The holder of a Provisional Supplier License is subject to all Rules and other Commission requirements as if fully-licensed, including all enforcement-related provisions.

*History Note: Authority G.S. 18C-114(a)(14);
Previously adopted as Rule 2B-004;
Eff. January 8, 2024;
Readopted Eff. March 27, 2024.*